Date:	Adult Patient:	
PA:	Member #:	
CM:	Admission Date	

Behavioral Health Checklist for ASAM Criteria (3rd Edition)

Rehab	Level 1 OMT	Level 2.1	Level 2.5	Level 3.1	Levels 3.3, 3.5	Level 3.7	Level 4
Withdrawal Management	Level 2-WM	Level 2-WM				Level 3.7-WM	Level 4- WM
Description of Services	Outpatient (<9 hours/week) Or Amb Detox without extended on-site monitoring	Intensive Outpatient (≥9 hours/week) Or Amb Detox with extended on-site monitoring	Partial Hospitalization Programs	Clinically Managed, Low Intensity Residential Services, e.g. Halfway House (professional staff on- site 24 hrs, or as req. by licensing regulations)	(3.3)Clinically Managed, Population- Specific, High-Intensity Residential Services (Adult) (3.5) Clinically Managed High- Intensity Residential Services (Adult) AND (3.5) Clinically Managed Medium- Intensity Residential Services (Adolescent)	Medically Monitored Intensive Inpatient (Adult) AND Medically Monitored High-Intensity Inpatient Services (Adolescent) Treatment (freestanding detox or special unit in psych hosp)	Medically Managed Intensive Inpatient (Acute Inpatient Hosp)
Admission specifications	need to meet all Dimensions at this level	Advisable if meets Dimensions 2&3 at this level(if applicable), and one of Dimensions 4,5, & 6 at this level	Advisable if meets Dimension 2 at this level(if applicable), and one of Dimensions 4,5, & 6 at this level	need to meet all Dimensions at this level	need to meet all Dimensions at this level for III.3 and III.5; also programs need to be DDC or DDE based on Dimension 3	need to meet this level in 2 dimensions, at least one of which is Dimension 1,2, or 3.	need to meet at this level one of Dimensions 1, 2, or 3 at a minimum
1 Acute Intoxication and/or Withdrawal Potential	No withdrawal needs or needs can be safely managed at this level.	No withdrawal needs or needs can be safely managed at this level.	Moderate risk of severe withdrawal outside program, and can be safely managed with several hours/day of medical monitoring.	No signs or symptoms of withdrawal, or withdrawal that can be managed at low intensity outpatient level	Minimal risk of severe withdrawal, or if withdrawal is present, can be managed at a III.2- WM (social setting detox) level	Experiencing severe withdrawal or severe withdrawal is imminent, but manageable in subacute detox or freestanding detox center, physician readily available within first 24 hours, and then 7 days/wk as needed	Severe withdrawal risk complicated withdrawal requiring hourly monitoring (acute care inpatient, physician on-site daily and available 24-hr/day)
2 Biomedical Conditions and Complications	None or sufficiently stable	If present, are stable or are receiving concurrent attention and won't interfere with treatment.	Biomedical conditions won't interfere with treatment, but would distract from recovery without this Level of management.	Stable and no medical monitoring needed, or doesn't warrant inpatient, but needs medical monitoring.	If present, stable and can self-administer meds or severe enough to warrant medical monitoring but not in need of inpatient treatment	Serious risk to physical health or requires 24-hour nursing and medical monitoring, but not full resources of an acute care hospital	Requires inpatient diagnosis and treatment (24-hour medical, nursing care)

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Rehab	Level 1	Level 2.1	Level 2.5	Level 3.1	Levels 3.3, 3.5	Level 3.7	Level 4
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Withdrawal Management	Level 2-WM	Level 2-WM				Level 3.7-WM	Level 4- WM
3 Emotional/ Behavioral or Cognitive Conditions and Complications	None or very stable (cognitively able to participate and no risk of harm)	If present, mild severity and needs outpatient monitoring to minimize distractions from recovery.	Problems here not required for this Level; if present, program needs to be DDC or DDE, and problems do not require a 24- hour level of care.	Stable or if distracting, can respond to the level of 24-hour structure in this program.	Moderate severity needing a 24-hour structured setting for cognitive deficits; repeated inability to control impulses, personality disorder requires high structure to shape behavior	Unstable BH condition that requires 24-hour medical monitoring and/or has violent behavior posing imminent danger	Dual diagnosis, severe problems requiring 24-hour psychiatric care with concomitant addiction treatment
4 Readiness to Change	Willing to cooperate or is ambivalent and needs motivating and monitoring strategies	Resistance high enough to require structured program but not so high as to render outpatient treatment ineffective.	This degree of structure required due to failure of motivational interventions at lesser levels, or poor impulse control and follow through with less intensive programs.	Ready to change and cooperate at this level, or externalizes problems and needs this level of structure, motivating and support.	Limited readiness to change; despite serious consequences, doesn't link addiction and life problems; without this structure poses a danger to self or others with continued use,; perspective impairs ability to change without 24 hour structure.	Resistance high and impulse control poor despite negative consequences; needs intensive motivation strategies available only in 24-hour structure; marked opposition to treatment with dangerous consequences if not engaged in treatment	Problems in this dimension do not qualify for Level IV treatment
5 Relapse, Continued Use or Continued Problem Potential	Able to maintain abstinence and recovery goals or achieve awareness of a SA problem with minimal support	Intensification of symptoms despite active participation (modi-fication of plan) in Level I, and high likelihood of relapse without close monitoring and support	Despite active involvement and modification of treatment plans at lower levels, continues to be experiencing deterioration in functioning/symptoms.	Is at high risk for imminent relapse with dangerous consequences unless provided this 24-hour structure and support <i>or</i> needs this support to transition into community.	Doesn't recognize triggers, unable to control use, in danger of relapse without close 24-hour monitoring and structured treatment	Unable to control use despite active participation in less intensive care and needs 24-hour structure	Problems in this dimension do not qualify for Level IV treatment
6 Recovery / Living Environment	Supportive recovery environment or willingness to obtain such or supports need professional interventions.	Lacks social contacts or social contacts aren't conducive to recovery, but with structure or support, the patient can cope	Environment is unsupportive but with structure or support and relief from the home environment, the patient can cope	Has a using, unsupportive, dangerous, or victimizing social network, or lacks a social network, requiring this level of 24-hour support.	Moderately high risk environment, lacks appropriate social contacts, is in danger of victimization	Environment is dangerous for recovery, patient lacks skills to cope outside highly structured 24-hour setting	Problems in this dimension do not qualify for Level IV treatment

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	OMT						
Withdrawal Management	Level 2-WM	Level 2-WM				Level 3.7-WM	Level 4- WM
The ratings of the abo	ve dimensions indicate that	ASAM criteria are met for		Level of care.			